

JC796 U. S. PTO
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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.52(b))</small>	Attorney Docket No	PINTO-006A
	First Inventor	A. Gregory Pinto
	Title	SYSTEM AND METHOD FOR DISTRIBUTING AND RECORDING TARGETED INFORMATION
	Express Mail Label No	EL 813 457 989 US

jc997 U. S. PTO
09/954757

09/17/01

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO <small>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</small>	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB17)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>39</u>] (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) 37 CFR 3.73(b) -Detailed Description -Claim(s) -Abstract of the Disclosure Copies of IDS Citations</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>9</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or C-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27 (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies a computer program listing appendix</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) ✓</p> <p>10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other <u>Check \$655.00 for filing fees, Check \$40.00 for Assignment fees, and Express Mailing Certificate</u></p>	
<p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application No <u>/</u> <small>Prior application information</small> Examiner <small>Group/Art Unit</small></p> <p>For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts</p>			
18. CORRESPONDENCE ADDRESS			
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Address			
City		State	
Country			
Name (Print/Type)	<small>Mariene Klein</small>		Registration No (Attorney/Agent) <u>43,718</u>
Signature	<small>Mariene Klein</small>		Date <u>9/17/01</u>

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FEE TRANSMITTAL for FY 2001

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		Complete if Known	
Application Number			
Filing Date		Herewith	
First Named Inventor		A. Gregory Pinto	
Examiner Name			
TOTAL AMOUNT OF PAYMENT		(\$ 695.00)	
Group Art Unit			
Attorney Docket No.		PINTO-006A	

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account Number <u>19-4330</u></p> <p>Deposit Account Name <u>STETINA BRUNDA GARRED & BRUCKER</u></p> <p>X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 X Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td>Surcharge -late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216 195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217 445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,390</td><td>218 695</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228 945</td><td>Extension for reply within fifty month</td></tr> <tr><td>119</td><td>310</td><td>219 155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220 155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221 135</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td>Request for oral hearing</td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>141</td><td>1,240</td><td>241 620</td><td>Petition to revive-unavoidable</td></tr> <tr><td>142</td><td>1,240</td><td>242 620</td><td>Petition to revive-unintentional</td></tr> <tr><td>143</td><td>440</td><td>243 220</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>144</td><td>600</td><td>244 300</td><td>Design issue fee</td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td>Submission of Information Disclosure Statement</td></tr> <tr><td>581</td><td>40</td><td>5 81 40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246 355</td><td>40.00 Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249 355</td><td>For each additional invention to be examined</td></tr> <tr><td>179</td><td>710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169 900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right;">* Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3)</td> <td>\$ 40.00</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65	Surcharge - late filing fee or oath	127	50	227 25	Surcharge -late provisional filing fee or cover sheet	139	130	139 130	Non-English specification	147	2,520	147 2,520	For filing a request for ex parte reexamination	112	920*	112 920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	115	110	215 55	Extension for reply within first month	116	390	216 195	Extension for reply within second month	117	890	217 445	Extension for reply within third month	118	1,390	218 695	Extension for reply within fourth month	128	1,890	228 945	Extension for reply within fifty month	119	310	219 155	Notice of Appeal	120	310	220 155	Filing a brief in support of an appeal	121	270	221 135	Filing a brief in support of an appeal	138	1,510	138 1,510	Request for oral hearing	140	110	240 55	Petition to institute a public use proceeding	141	1,240	241 620	Petition to revive-unavoidable	142	1,240	242 620	Petition to revive-unintentional	143	440	243 220	Utility issue fee (or reissue)	144	600	244 300	Design issue fee	122	130	122 130	Petitions to the Commissioner	123	50	123 50	Petitions related to provisional applications	126	240	126 240	Submission of Information Disclosure Statement	581	40	5 81 40	Recording each patent assignment per property (times number of properties)	146	710	246 355	40.00 Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249 355	For each additional invention to be examined	179	710	279 355	Request for Continued Examination (RCE)	169	900	169 900	Request for expedited examination of a design application	Other fee (specify) _____				* Reduced by Basic Filing Fee Paid				SUBTOTAL (3)		\$ 40.00
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**or number previously paid, if greater; For Reissues, see above																																																																																																																																						

SUBMITTED BY:

Complete (if applicable)					
Name (Print/Type)	Marlene Klein		Registration No Attorney/Agent	43,718	Telephone (949) 855-1246
Signature	<i>Marlene Klein</i>			Date	8/17/01

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on September 17, 2001.
(Date)


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Dawn L. Mangino
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1. Utility Patent Application Transmittal (1 pg);
2. Fee Transmittal for FY 2001 (1 pg) (in duplicate);
3. Patent Application Specification (39 pgs);
4. Drawings (9 pgs);
5. Declaration for utility or Design Patent Application (2pgs);
6. Recordation Form Cover Sheet PATENTS ONLY (1 pg);
7. Assignment of Application (1 pg);
8. Power of Attorney or Authorization of Agent (1 pg);
9. Certificate Under 37 C.F.R. Section 3.73(b) (1 pg);
10. Copy of the Assignment (1 pg);
11. Check for \$655.00 filing fees for application;
12. Check for \$40.00 fee for Assignment; and
13. Return Postcard to acknowledge receipt.